

Annex No 5

to the Guidelines to Applicants for Obtaining Assistance under the Partnership Project Scheme of the Green Industry Innovation Programme under the Norwegian Financial Mechanism 2009-2014

METHODOLOGY FOR THE CALCULATION OF INDICATORS FOR MONITORING THE IMPLEMENTATION OF THE PROGRAMME ASSIGNED TO THE PARTNERSHIP PROJECT SCHEME OF THE GREEN INDUSTRY INNOVATION PROGRAMME UNDER THE NORWEGIAN FINANCIAL MECHANISM 2009-2014

ITEM NO.	MONITORING INDICATOR	EXPLANATION OF THE MONITORING INDICATOR	MEASUREMENT OF THE MONITORING INDICATOR				RESPONSIBLE FOR THE SUBMISSION OF INFORMATION LEGAL ENTITY
			MEASUREMENT UNIT	CALCULATION METHOD	INFORMATION SOURCE	TIMING	
1.	Output indicator: Implemented projects, the result of which is the developed or acquired and implemented or introduced to the market new environmental technologies or significantly improved existing	Definitions: Project – the totality of the types of activities that are economically undivided and perform a precise function, which has a defined budget, implementation period and clearly established objectives. Note. The project promoter must obligatorily choose this output	Number	Successfully completed projects, the result of which is the developed or acquired and installed or introduced to the market new environmental technologies or significantly improved existing technological processes, are calculated.	Primary sources: Final project implementation report. Secondary sources: Payment application (Annex No 17 to the Rules for the Funding and Implementation of the European Economic Area and the Norwegian Financial Mechanisms 2009-2014, Programmes and Projects (hereinafter referred to as the “Administration Rules”) approved by Order No 1K-135 of the Minister of Finance of the Republic of Lithuania of 11 April 2012 (Official Gazette, 2012, No 44-2179; 2013, No 13-629) (hereinafter referred to as the “PA”) (Information	Upon completion of the project. Quarterly, together with the payment application.	Project promoter.

	technological processes (number).	indicator.			is provided in paragraph 3 “Monitoring Indicators” of the payment application). A final accounting of the indicator achievement is provided in the final payment application.		
2.	Outcome indicator: Attracted private investments.	<p>Definitions:</p> <p>Private investments – a private contribution of the applicant and project partners to the project.</p> <p>Main statements:</p> <p>For the purpose of calculating the indicator, only direct private investments, i.e. the contribution of the applicant and project partners to eligible and ineligible expenditure.</p> <p>When completing paragraph 14 of General (A) Part of the application for funding of the project (Annex 9 to the Administration Rules) (hereinafter referred to as the “Part (A) of the application”), the applicant must indicate the amount of planned private investments to be attracted, when completing paragraph 13 of Part (A) of the application must indicate the amount of ineligible expenditure of the project.</p> <p>Note. In addition to this outcome indicator, the project promoter must choose one indicator from</p>	LTL M	The quantity of private investments under the project is added up.	<p>Primary sources: invoices, bank account extracts, other documents substantiating the payment of the project expenditure, and other documents.</p> <p>Secondary sources: PA. In the PA table “Monitoring Indicators”, under the indicator achievement only the amount of attracted eligible expenditure, which correspond with the share of private investments of the applicant and project partners from the beginning of the project until the end of the reporting period is indicated. Only the documents substantiating the expenditure and documents proving the payment of expenditure recognised as eligible expenditure are submitted together with the PA. Information about the attraction of ineligible expenditure is submitted together with the final PA, i.e. the final PA indicates the aggregate amount of eligible expenditure attracted from the beginning until the end of the project plus the amount of ineligible expenditure (the declaration on the attraction of ineligible expenditure is attached).</p>	Constantly	Project promoter.

		outcome indicators laid down in paragraphs 3-7 of this methodology.					
3.	Outcome indicator: Developed and installed new environmental technologies (number).	Definitions: Developed and installed environmental technologies – new and innovative, at the scale of the Lithuanian market, environmental technologies developed and installed in the enterprise’s activities as a result of the implementation of the project.	Number	The quantity of developed and installed new environmental technologies during the project implementation period is calculated	Primary sources: technology description, technical specification, testing protocol, contracts and other documents proving that the environmental technology was significantly improved and installed. Secondary sources: PA, final payment application, a project completion report.	Constantly. Quarterly, together with the PA, annually after the implementation of the project. A final accounting of the indicator achievement is provided together with the project completion report.	Project promoter.
4.	Outcome indicator: New environmental technologies developed and introduced to the market (number).	Definitions: Environmental technologies introduced to the market –new, at the scale of the Lithuanian market, technologies, which enable to reduce the demand for raw materials and the amount of energy consumption and pollutant emissions, obtain valuable by-products and resolve waste disposal issues, introduced to the market (Lithuanian, European, or on a wider scale) as a result of the implementation of the project.	Number	The quantity of samples of new environmental technologies developed and introduced to the market during the project implementation period and 3 years after the completion of the project is calculated.	Primary sources: documents (technology description, sale-purchase contracts, invoice or other documents) proving that the developed new environmental technologies were introduced to the market. Secondary sources: PA, final payment application, and a post-completion report.	Constantly. Quarterly, together with the PA. A final accounting of the indicator achievement is provided 3 years after the implementation of	Project promoter.

						the project.	
5.	<p>Outcome indicator:</p> <p>Existing technological processes, which were significantly improved and installed, enabling to reduce their environmental impact and/or increase the efficiency of the use of natural resources (number).</p>	<p>Definitions:</p> <p>Significantly improved technological processes – the existing technological processes that were significantly improved and installed as a result of the implementation of the project, when their technological features and functional characteristics are improved, as a result of which their negative environmental impact is reduced and/or the efficiency of the use of natural resources is increased.</p>	Number	<p>The quantity of technological processes that were significantly improved and installed during the project implementation period is calculated.</p>	<p>Primary sources: Description of the technological process, drawings, technological process testing protocol, contracts and other documents proving that the existing technological process was significantly improved and installed.</p> <p>Secondary sources: PA, final payment application, a project completion report.</p>	<p>Constantly.</p> <p>Quarterly, together with the PA. A final accounting of the indicator achievement is provided together with the project completion report.</p>	Project promoter.
6.	<p>Outcome indicator:</p> <p>Existing technological processes, which were significantly improved and introduced to the market, enabling to reduce their environmental impact and/or increase the efficiency of the use of natural resources</p>	<p>Definitions:</p> <p>Significantly improved technological processes – the existing technological processes that were significantly improved and introduced to the market as a result of the implementation of the project, when their technological features and functional characteristics are improved, as a result of which their negative environmental impact is reduced and/or the efficiency of the use of natural resources is increased.</p>	Number	<p>The quantity of technological processes that were significantly improved and introduced to the market during the project implementation period and 3 years after the implementation of the project.</p>	<p>Primary sources: Description of the technological process, drawings, technological process testing protocol, purchase-sale contract and other documents proving that the existing technological process was significantly improved and introduced to the market.</p> <p>Secondary sources: PA, a post-completion report.</p>	<p>Constantly.</p> <p>Quarterly, together with the PA, annually after the implementation of the project. A final accounting of the indicator achievement is provided 3 years after the implementation of the project.</p>	Project promoter.

	(number).						
7.	<p>Outcome indicator:</p> <p>Acquired and installed new innovative environmental technologies (number).</p>	<p>Definitions:</p> <p>Installed new environmental technologies – new and innovative, at the scale of the Lithuanian market, environmental technologies enabling to reduce the demand for raw materials and the amount of energy consumption and pollutant emissions, obtain valuable by-products and resolve waste disposal issues, which were acquired and installed in the enterprise’s activities as a result of the implementation of the project.</p>	Number	<p>The quantity of acquired and installed environmental technologies during the project implementation period is calculated.</p>	<p>Primary sources: Documents (purchase-sale contracts, invoices, lists drawn up by the project promoter, etc.) proving that environmental technologies were acquired and installed.</p> <p>Secondary sources: PA, final payment application, and project completion report</p>	<p>Constantly.</p> <p>Quarterly, together with the PA.</p> <p>A final accounting of the indicator achievement is provided together with the project completion report.</p>	Project promoter.
