

Annex No 3
to the Guidelines to Applicants for Obtaining Assistance under the
Partnership Project Scheme of the Green Industry Innovation
Programme under Norwegian Financial Mechanism 2009-2014

PROJECT BENEFIT AND QUALITY ASSESMENT METHODOLOGY

Table 1

Item No	Special priority criteria for the selection of projects	Main assessment aspects	Assessment scale	Assessment point	Source of information
1.	Total:		100		
2.	Primary criteria of the Partnership project Scheme of the Green Industry Innovation Programme under Norwegian Financial mechanism 2009-2014 (hereinafter referred as to the “scheme”)		70		
2.1.	The experience of an applicant and partner(s) of the proper and timely implementation of the project.	The higher rating shall be given to projects an applicant and (or) a partner of which during the last three financial years before submitting an application performed the activities indicated in paragraph 8 of the Guidelines to Applicants for Obtaining Assistance under the Partnership Project Scheme of the Green Industry Innovation Programme under Norwegian Financial Mechanism 2009-2014 (hereinafter referred to as the “Guidelines”), has successfully implemented environmental projects, has experience in performing Research and Development activities. <i>Scoring: refer to note following the table</i>	0–5		Part (B) of the application (Annex No 4 to the Guidelines), business plan

2.2.	Project implementation plan	<p>Higher evaluation is offered to the projects with detailed and well-structured implementation plan and consistent description of the project implementation phases providing identification of each project activity and the activity's relation to the project results. The results to be achieved at the end of implementing each of the activities need to be singled out clearly. The project duration and the implementation period of each activity need to be indicated. Potential risks which may pose a threat to the implementation of the project must be identified according to the degree of their threatening nature and adequate risk prevention and management measures listed. The description needs to specify the role of the applicant and partner (s) in each individual phase. The team composition and responsibilities in implementing each of the activities needs to be described.</p> <p><i>Scoring: refer to note following the table</i></p>	0-5		Part (B) of the application (Annex No 4 to the Guidelines), business plan
2.3.	The impact of the project to the growth of competitiveness of the applicant's company and to the economic development of Lithuania (strategic importance of the project).	The importance of the project to the development and competitiveness of the applicant or partner related economic sector shall be assessed according to the criteria. The higher rating shall be given to the project of higher importance. The importance shall be assessed considering the planned changes in labour productivity, production quality, production costs, the amount of sales and export by the applicant compared with the previous values and the legitimacy and reality of the planned changes. The word "export" shall also cover export of goods to the Member States of the European Union (hereinafter referred to as "the EU"). The importance to the economic development of Lithuania shall be assessed considering the following aspects: the reduction of dependence of Lithuania on imports of raw materials and energy, on foreign direct investments,	0-5		Part (B) of the application (Annex No 4 to the Guidelines), business plan

		creation of work places and the like. <i>Scoring: refer to note following the table</i>			
2.4.	The level of parameters and functional characteristics of the environmental technologies or significantly improved technological processes in respect of the sparing use of natural resources.	<p>Projects the implementation result of which shall be introduced to the market developed environmental technologies or significantly improved technological processes.</p> <p>The higher rating shall be given to projects during the implementation of which the level of parameters and functional characteristics of developed environmental technologies or significantly improved technological processes will allow to reduce the consumption of energy and (or) raw materials, and (or) fuel, and (or) additional materials, and (or) water by more than 10% compared with alternative technologies performing the same functions or producing the same production or technological processes.</p> <p>Rating scale: 5 points: if allows reducing the consumption of raw materials and (or) additional materials; 4 points: if allows reducing the consumption of water and (or) fuel ; 3 points: if allows reducing energy consumption; 0 points: if not reduce the consumption.</p> <p><i>or</i></p> <p>Projects the implementation result of which shall be the installed environmental technologies or significantly improved technological processes:</p> <p>The higher rating shall be given to projects during the implementation of which the installed environmental technologies or use of significantly improved technological processes will allow to reduce the consumption of energy and (or) raw materials, and (or) fuel, and (or) additional materials, and (or) water by</p>	0, 3, 4 or 5		Part (B) of the application (Annex No 4 to the Guidelines), business plan

		<p>more than 10% per unit of a production produced.</p> <p>Rating scale:</p> <p>5 points: if allows reducing the consumption of raw materials and (or) additional materials;</p> <p>4 points: if allows reducing the consumption of water and (or) fuel;</p> <p>3 points: if allows reducing energy consumption;</p> <p>0 points: if not reduce the consumption.</p> <p><i>Scoring: if the effect indicated in several items of the rating scale according to which points 5, 4 or 3 shall be given, the maximum point shall be given – 5.</i></p>			
2.5.	<p>The level of parameters and functional characteristics of environmental technologies or the significantly improved technological processes in respect of air pollution.</p>	<p>Projects the implementation result of which shall be introduced to the market developed environmental technologies or significantly improved technological processes on the market.</p> <p>The higher rating shall be given to projects during the implementation of which the level of parameters and functional characteristics of the developed environmental technologies or significantly improved technological processes will allow to reduce the concentration of air pollution and (or) the amount of air pollution by more than 10% compared with alternative technologies performing the same functions or producing the same production or technological processes.</p> <p>Rating scale:</p> <p>5 points: if allows reducing the concentration or (and) the amount of air pollutants of Groups I-III listed in the List of Taxable Pollutants and their groups approved by Resolution No 53 of the Government of the Republic of Lithuania of 18 January 2000 (Official Gazette, 2000, No 6-159; 2009, No 111-4723);</p> <p>3 points: if allows reducing the concentration or (and) the amount of air pollutants of Group IV listed in the List of Taxable Pollutants and Their Groups;</p>	0, 3 or 5		<p>Part (B) of the application (Annex No 4 to the Guidelines), business plan</p>

		<p>0 points: if not reduces.</p> <p><i>or</i></p> <p>Projects the implementation result of which shall be the installed environmental technologies or significantly improved technological processes:</p> <p>The higher rating shall be given to projects during the implementation of which the installed environmental technologies or use of significantly improved technological processes will allow to reduce the concentration of air pollution and (or) the amount of air pollution by more than 10% per unit of a production produced.</p> <p>Rating scale:</p> <p>5 points: if allows reducing the concentration or (and) the amount of air pollutants of Groups I-III listed in the List of Taxable Pollutants and Their Groups;</p> <p>3 points if allows reducing the concentration or (and) the amount of air pollutants of Group IV listed in the List of Taxable Pollutants and Their Groups;</p> <p>0 points: if not reduces.</p> <p><i>Scoring: if the effect indicated in both items of the rating scale according to which points 3 and 5 shall be given, the maximum point shall be given – 5.</i></p>			
2.6.	The level of parameters and functional characteristics of environmental technologies or the significantly improved technological processes in respect of use of renewable energy sources.	<p>Projects the implementation result of which shall be introduced to the market developed environmental technologies or significantly improved technological processes.</p> <p>The higher rating shall be given to projects during the implementation of which the level of parameters and functional characteristics of the developed environmental technologies or the significantly improved technological processes will allow to replace all or part of the fossil fuel with the fuel produced from</p>	0, 3, 4 or 5		Part (B) of the application (Annex No 4 to the Guidelines), business plan

		<p>renewable resources compared with alternative technologies performing the same functions or producing the same production or technological processes.</p> <p>Rating scale:</p> <p>5 points: if allows replacing more than 51% of the fossil fuel with the fuel produced from renewable resources;</p> <p>4 points: if allows replacing 30% - 50% of the fossil fuel with the fuel produced from renewable resources;</p> <p>3 points: if allows replacing 10% - 29% of the fossil fuel with the fuel produced from renewable resources;</p> <p>0 points: if allows replacing up to 9% of the fuel or if not replaces any.</p> <p><i>or</i></p> <p>Projects the implementation result of which shall be the installed environmental technologies or significantly improved technological processes:</p> <p>The higher rating shall be given to projects during the implementation of which the installed environmental technologies or use of significantly improved technological processes will allow to replace all or part of the fossil fuel with the fuel produced from renewable resources (shall be calculated per unit of a production produced).</p> <p>Rating scale:</p> <p>5 points: if allows replacing more than 51% of the fossil fuel with the fuel produced from renewable resources;</p> <p>4 points: if allows replacing 30% - 50% of the fossil fuel with the fuel produced from renewable resources;</p> <p>3 points: if allows replacing 10% - 29% of the fossil fuel with the fuel produced from renewable resources;</p> <p>0 points: if allows replacing up to 9% of the fuel or if not replaces any.</p>			
2.7.	The level of parameters and	Projects the implementation result of which shall be	0, 3, 4 or 5		Part (B) of the application (Annex No 4 to

	<p>functional characteristics of environmental technologies or the significantly improved technological processes in respect of industrial wastewater.</p>	<p>introduced to the market developed environmental technologies or significantly improved technological processes on the market.</p> <p>The higher rating shall be given to projects during the implementation of which the level of parameters and functional characteristics of developed environmental technologies or the significantly improved technological processes will allow to reduce the amount of industrial wastewater and (or) the pollutant concentration and (or) amount in wastewater by more than 20% compared with alternative technologies performing the same functions or producing the same production or technological processes.</p> <p>Rating scale:</p> <p>5 points: if allows reducing the concentration or (and) the amount of pollutants in wastewater listed in Annex No 1 and part A of Annex No 2 to the Regulation on Wastewater Treatment approved by Order No D1-236 of the Minister of Environment of the Republic of Lithuania of 17 May 2006 (Official Gazette, 2006, No. 59-2103, 2007, No 110-4522);</p> <p>4 points: if allows reducing the concentration or (and) the amount of pollutants in wastewater listed in the B1list of part B of Annex No 2 to the Regulation on Wastewater Treatment;</p> <p>3 points: if allows reducing the concentration or (and) the amount of pollutants in wastewater listed in B2 list of part B of Annex No 2 to the Regulation on Wastewater Treatment;</p> <p>0 points: if not reduces.</p> <p><i>or</i></p> <p>Projects the implementation result of which shall be the installed environmental technologies or significantly improved technological processes:</p>			<p>the Guidelines), business plan</p>
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		<p>The higher rating shall be given to projects during the implementation of which the installed environmental technologies or use of significantly improved technological processes will allow to reduce the amount of industrial wastewater and (or) the pollutant concentration and (or) amount in wastewater by more than 20% per unit of a production produced.</p> <p>Rating scale:</p> <p>5 points: if allows reducing the concentration or (and) the amount of pollutants in wastewater listed in Annex No 1 and part A of Annex No 2 to the Regulation on Wastewater Treatment;</p> <p>4 points: if allows reducing the concentration or (and) the amount of pollutants in wastewater listed in the B1 list of part B of Annex No 2 to the Regulation on Wastewater Treatment in list;</p> <p>3 points: if allows reducing the concentration or (and) the amount of pollutants in wastewater listed in the B2 list of part B of Annex No 2 to the Regulation on Wastewater Treatment;</p> <p>0 points: if not reduces.</p> <p><i>Scoring: if the effect indicated in several items of the rating scale according to which points 5, 4 or 3 shall be given, the maximum point shall be given – 5.</i></p>			
2.8.	The level of parameters and functional characteristics of environmental technologies or the significantly improved technological processes in respect of industrial waste.	<p>Projects the implementation result of which shall be introduced to the market developed environmental technologies or significantly improved technological processes.</p> <p>The higher rating shall be given to projects during the implementation of which the level of parameters and functional characteristics of developed environmental technologies or the significantly improved technological processes will allow to reduce the amount of industrial waste by more than 10% compared with alternative technologies performing the same functions or</p>	0, 3 or 5		Part (B) of the application (Annex No 4 to the Guidelines), business plan

		<p>producing the same production or technological processes.</p> <p>Rating scale:</p> <p>5 points: if allows reducing the amount of hazardous waste listed in Annex No 1 and Annex No 2 to the Rules on Waste Management approved by Order No 217 of the Minister of Environment of the Republic of Lithuania of 14 July 1999 (Official Gazette, 1999, No 63-2065; 2011, No 57-2721);</p> <p>3 points: if allows reducing the amount of non-hazardous waste listed in Annex No 1 and Annex No 2 to the Rules on Waste Management;</p> <p>0 points: if not reduces.</p> <p><i>or</i></p> <p>Projects the implementation result of which shall be the installed environmental technologies or significantly improved technological processes:</p> <p>The higher rating shall be given to projects during the implementation of which the installed environmental technologies or use of significantly improved technological processes will allow to reduce the amount of industrial waste by more than 10% per unit of a production produced.</p> <p>Rating scale:</p> <p>5 points: if allows reducing the amount of hazardous waste listed in Annex No 1 and Annex No 2 to the Rules on Waste Management;</p> <p>3 points: if allows reducing the amount of non-hazardous waste listed in Annex No 1 and Annex No 2 to the Rules on Waste Management;</p> <p>0 points: if not reduces.</p> <p><i>Scoring: if the effect indicated in both items of the rating scale according to which points 3 or 5 shall be given,</i></p>			
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		<i>the maximum point shall be given – 5.</i>			
3.		<i>Arithmetic mean of points (2.1+...+2.8)/8</i>	0-5		
4.		<i>Multiplier</i>		14	
5.	Secondary criteria of the scheme		30		
5.1.	The project shall be implemented in the municipality recognised as a problem territory by Resolution No 112 of the Government of the Republic of Lithuania of 31 January 2007 “Regarding problem territories” (Official Gazette, 2007, No 15-555) or in the regional economic growth centre indicated by Lithuanian Regional Policy Strategy until 2013 approved by Resolution No 575 of the Government of the Republic of Lithuania of 23 May 2007 (Official Gazette, 2005, No 66-2370).	More even economic development in various territories of Lithuania shall be promoted (5 points shall be given to projects being implemented in problem territories or regional economic growth centres).	0 or 5		Part A of the General Application Form under the European Economic Area or Norwegian Financial Mechanisms 2009-2014 and co-financing (Annex No 9 to the Rules for the Funding and Implementation of the European Economic Area and the Norwegian Financial Mechanisms 2009-2014, Programmes and Projects approved by Order No 1K-135 of the Minister of Finance of the Republic of Lithuania of 11 April 2012 (Official Gazette, 2012, No 44-2179; 2013, No 13-629)).
5.2.	The project is implemented with partner(s) which is (are) a legal entity registered in the Kingdom of Norway in accordance with legal acts of this country.	Encouraged bilateral cooperation between Lithuanian and Norwegian businesses enterprises, organisations and higher education and research institutions The higher rating shall be given to projects noted for a more significant contribution of the project partners to their implementation. Rating scale: 10 points – a partner contributes to the project by activities that have a significant impact on the project outcomes (e.g., R&D activities, implementation of technologies in production process, expert assistance directly related to the implementation of technologies, etc.) and the	0, 2, 4, 6, 8, 9 or 10		Part (B) of the application (Annex No 4 to the Guidelines), business plan.

		<p>partner's share of the project costs is 41 per cent and more of the total project value;</p> <p>9 points – a partner contributes to the project by activities that have a significant impact on the project results (e.g., R&D activities, implementation of technologies in production process, expert assistance directly related to the implementation of technologies, etc.) and the partner's share of the project costs is 21–40 per cent of the total project value;</p> <p>8 points – a partner contributes to the project by activities that have a significant impact on the project results (e.g., R&D activities, implementation of technologies in production process, expert assistance directly related to the implementation of technologies, etc.) and the partner's share of the project costs is 20 per cent and less of the total project value;</p> <p>6 points – a partner contributes to the project by activities that have a minor impact on the project results (e.g., consulting on marketing matters, organisation of training for employees, etc.) and the partner's share of the project costs is 21 per cent and more of the total project value;</p> <p>4 points – a partner contributes to the project by activities that have a minor impact on the project results (e.g., consulting on marketing matters, organisation of training for employees, etc.) and the partner's share of the project costs is 20 per cent and less of the total project value;</p> <p>2 points – a partner (partners) participates in the project, but does not contribute to the project financing;</p> <p>0 points – the project is implemented without a partner, who is (are) a legal entity, registered in the Kingdom of Norway.</p>			
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		<i>Scoring: if more than one partner participates in the project, each partner shall be evaluated separately and the sum of points shall be indicated which, however, may not exceed the maximum score – 10 (e.g., if according to this selection criterion a project gets 8 and 6 points, the score given shall be 10).</i>			
6.		<i>Arithmetic mean of points (5.1+5.2)/2</i>	0-7,5		
7.		<i>Multipliers</i>	4		

Note:

Unless stated otherwise in this methodology:

5 points shall be given if the project complies with all aspects and features of selection criteria and in quantitative terms of these aspects and features shall be more advantageous than other similar projects, and based on the application and other documents submitted it can be concluded that planned indicators and results to be achieved are realistic and grounded.

3 points shall be given if the project complies with part of aspects and features of selection criteria and in quantitative terms of these aspects and features shall be more advantageous than other similar projects, and based on the application and other documents submitted it can be concluded that planned indicators and results to be achieved are realistic and grounded.

0 points shall be given if the project shall not comply with the criterion totally.

Intermediate points shall be given compared the assessment results of the relevant criterion with the above provided scoring principles.

Table 2

Item No of the Special priority criteria for the selection of projects	Justification of Assessor's Scores
2.1.	
2.2.	
2.3.	
2.4.	
2.5.	
2.6.	

2.7.	
2.8.	
5.1.	
5.2	
